



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Christoph Briehn et al.

Group Art Unit: Unknown

Serial No.: 10/599,022

Examiner: Unknown

Filed: September 18, 2006

For: CURABLE COMPOSITION CONTAINING SURFACE-MODIFIED PARTICLES

Attorney Docket No.: WAS 0806 PUSA

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)**

Mail Stop Amendment
Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

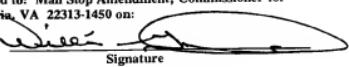
While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

10/16/2006
Date of Deposit

William G. Conger
Name of Person Signing


Signature

S/N: 10/599,022

Any Dkt No. WAS 0806 PUSA

Accompanying the Information Disclosure Statement is the statement of Dr. Helmut Fritz describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Christoph Briehn et al.

By: 

William G. Conger

Reg. No. 31,209

Attorney/Agent for Applicant

Date: 10/16/2006

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO/SB/08A (08-03)

Substitute for form 1440/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/599,022
		Filing Date	09/18/2006
		First Named Inventor	Christoph Briehn
		Art Unit	Unknown
		Examiner Name	Unknown
		Attorney Docket Number	I WAS 0806 PLUSA
Sheet	1	-	2

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Cite No.	Foreign Patent Document Country Code ² *Number *Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
/H.P./		DE 10200928 A	09/25/2003	BASF
/H.P./		WO 2004/089961 A	10/21/2004	Consortium

Examiner
Signature /Hannah Pak/ Date
Considered 08/12/2008

EXAMINER: Initial or copy of first next claim to appear. **SEARCHER:** Draw line through citation if not in conformance with MPEP 609. **DRAWER:** Draw line through citation if not in conformance with MPEP 609. **SPECIFIC CITATION:** See Kindz, Cited, and Reference sections above. **APPLICANT'S ATTORNEY OR AGENT:** If applicable, draw line through citation if not in conformance with MPEP 609. **USPTO PAPER NUMBER:** **ENTER OFFICE** that issued the patent (use letter code (WFO) or (DFO)). **YEAR:** Japanese patent documents, the indication of the year of the reign of the Emperor Standard must precede the serial number of the patent document. **KIND OF DOCUMENT:** If kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 # possible. **APPLICANT:** Is to place a check mark here if English language.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the burden estimate or suggestions for reducing this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND 37 CFR 1.98(e)(4) to: Director, PTO, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-RTO-8188 (1-800-736-8188) and select option 2.

PTO/SB/06B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/599,022
				Filing Date	09/18/2006
				First Named Inventor	Christoph Briehn
				Art Unit	Unknown
				Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	WAS 0806 PUSA

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T-2
/H.P./		Patbase Abstract corresponding to DE-A 10200928	
/H.P./		Patbase Abstract corresponding to WO-A 2004/089961	
/H.P./		Macromol. Chem. Phys. 2003, 204, pages 375-383	
/H.P./		Altmanns et al. in Monatshefte für Chemie, Springer Verlag, Vienna - AT, vol.134, pages 1081 - 1092	

Examiner Signature	/Hannah Pak/	Date Considered	08/12/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not used. If neither of this form nor its continuation is conformance, attach separate sheet.

1 Applicant's foreign citation on another application. 2 Attorney or Agent to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.08. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of
CHRISTOPH BRIEHN ET AL.
Serial Number : Group Art Unit:
Filed: :
For: CURABLE COMPOSITION CONTAINING SURFACE-MODIFIED PARTICLES

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir or Madam:

I, Helmut Fritz, associated with the preparation and prosecution of the above-identified application, residing at Kreuzstraße 11, 83624 Otterfing, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and corresponding International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

US-A 4,455,205 is already in English language.

US-A 4,491,508 is already in English language.

US-B 6,306,502 is already in English language.

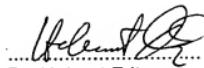
For DE-A 10200928 an English Patbase Abstract is enclosed.

For WO-A 2004/089961 an English Patbase Abstract is enclosed.

Macromol. Chem Phys. 2003, 204, pages 375-383 is already in English language.

Altmanns et al.: "The hydrolysis/condensation behaviour of
methacryloyloxyalkylfunctional alkoxy silanes: structure-reactivity relations",
Monatshefte für Chemie, Springer Verlag, Vienna, AT, vol. 134, pages 1081 to 1092
is already in English language.

Signed this 22nd day of September, 2006.



Dr. Helmut Fritz